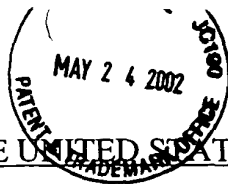


0822.66096



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application

Applicant: Thomas Gill

Serial No. 10/090,247

Filed: March 4, 2002

For: SWIVELLING FILTER HEAD  
ASSEMBLY

)  
) I hereby certify that this paper is being deposited with the  
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) 5/19/02  
) Date Registration No. 31,997  
) F-CLASS.WCM  
) Appr. February 20, 1998 Attorney for Applicant

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Applicant submitted the above-identified application to the U.S. Patent and Trademark Office via Express Mail on March 4, 2002. This date of transmittal is confirmed by the enclosed copies of the application transmittal and the first page of the application showing the March 4, 2002 transmittal date. Furthermore, the enclosed copy of the U.S. Post Office tracking receipt for this U.S. Express Mail number "E1 846173582 US" shows that the application was mailed on March 4, 2002 at 16:39 p.m. (4:39 p.m.)

Furthermore, the tracking report from the U.S. Postal Service (copy enclosed) shows that the item was delivered at 11:31 a.m. on March 5, 2002 to the Patent Office. Thus, the Express Mail filing could not have occurred on March 5, 2002 as is indicated by hand by the postal employee upon deposit of the application with the U.S. Post Office.

U.S. Patent and Trademark Office  
Commissioner for Patents  
Washington, D.C. 20231



UTILITY PATENT  
Date: March 4, 2002  
File No. 0822.66096

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Thomas Gill

For: SWIVELLING FILTER  
HEAD ASSEMBLY

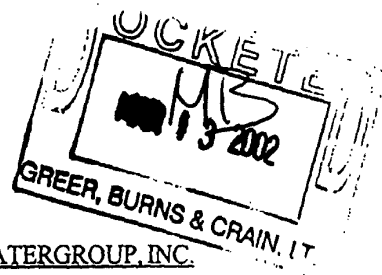
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March 4, 2002  
Date

Express Mail Label No.: EL846173582US

Enclosed are:

- (X) 21 pages of specification, including 19 claims and an abstract.
- (X) an executed oath or declaration, with power of attorney.
- ( ) an unexecuted oath or declaration, with power of attorney.
- (X) 4 sheet(s) of informal drawing(s).
- ( ) sheet(s) of formal drawings(s).
- (X) Assignment(s) of the invention to USF CONSUMER & COMMERCIAL WATERGROUP, INC.
- (X) Assignment Form Cover Sheet.
- (X) A check in the amount of \$ 40.00 to cover the fee for recording the assignment(s) is enclosed.
- ( ) Information Disclosure Statement.
- ( ) Form PTO-1449 and cited references.
- ( ) Associate power of attorney.
- ( ) Priority Document.



Fee Calculation For Claims As Filed

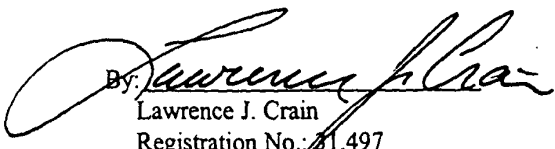
a) Basic Fee						\$ 740.00
b) Independent Claims	2	-	3	=	0	x \$ 84.00 = \$ 0
c) Total Claims	19	-	20	=	0	x \$ 18.00 = \$ 0
d) Fee for Multiple Dependent Claims						\$280.00 = \$ 0
Total Filing Fee						\$ 740.00

- ( ) Applicant qualifies as a Small Entity, reducing Filing Fee by half to \$ 0
- (X) A check in the amount of \$ 740.00 to cover the filing fee is enclosed.
- ( ) Charge \$ \_\_\_\_\_ to Deposit Account No. 07-2069.
- ( ) Other \_\_\_\_\_
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 07-2069. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 07-2069. A duplicate copy of this sheet is enclosed.

300 S. Wacker Drive - Suite 2500  
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Fax.: (312) 360-9315

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GREER, BURNS & CRAIN, LTD.

By:   
Lawrence J. Crain  
Registration No.: 31,497



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## SWIVELLING FILTER HEAD ASSEMBLY

### BACKGROUND OF THE INVENTION

The present invention relates generally to water treatment devices, and specifically to such devices used in commercial establishments such as restaurants, hotels, schools, as well as residences, for the treatment of water for drinking directly or for incorporation into beverages.

5 In commercial establishments, when local water is mixed with beverage ingredients to provide soft drinks and juices, it is common to provide a water treatment system incorporating at least one treatment or filter unit. The feed water is introduced into a filter media, typically including, but not restricted to activated carbon, and/or passed through filter, reverse osmosis (RO) or  
10 nanofiltration (NF) membranes. For the purposes of this application, "filtering" will be understood to mean other types of treatment, not restricted to filtering, and filtering and treatment will be used interchangeably. The treatment operation is performed to enhance and standardize the taste of the drinking water as well as beverages made from that water. Some beverage manufacturers require certain  
15 levels of treatment in feed water used by formulators of licensed beverage products.

Since these commercial systems typically include at least one filter head which is plumbed into the feed water supply and at least one replaceable



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/090,247	03/05/2002	1723	740	0822.66096	4	19	2

CONFIRMATION NO. 6537

24978  
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300 S WACKER DR  
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CHICAGO, IL 60606

**FILING RECEIPT**



\*OC00000007795217\*

Date Mailed: 04/04/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Thomas Gill, Anaheim, CA;

**Assignment For Published Patent Application**

USF CONSUMER & COMMERCIAL WATERGROUP, INC.;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

If Required, Foreign Filing License Granted 04/04/2002

Projected Publication Date: 09/11/2003

Non-Publication Request: No

Early Publication Request: No

**Title**

Swivelling filter head assembly

**Preliminary Class**

210

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No.	Doccode	Number of pages
1	LET.	1
2	IDS	3

Total number of pages: 4

Remarks:

Order of re-scan issued on .....